1 JCTO Rec a FC 17:10 13 ROV 2001

FORM PTO-1390 OMMERCE PATENT AND TRADEMARK OFFICE ATTURNEY'S DOCKET NUMBER 9999-IKU-931 TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5 DESIGNATED/ELECTED OFFICE (DO/EO/US) 009358 CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. PRIORITY DATE CLAIMED INTERNATIONAL FILING DATE PCT/NL00/00326 MAY 17, 2000 MAY 18, 1999 TITLE OF INVENTION MOVEMENT MECHANISM APPLICANT(S) FOR DO/EO/US IKU HOLDING MONTFOORT B.V. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected by the expiration of 19 months from the priority date (Article 31). 5 XX A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. XX is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6 XX An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. XX is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7 XX Amendments to the claims of the International Aplication under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c. XX have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10XX An English lanugage translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. 14. 15. A substitute specification. 16. A change of power of attorney and/or address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18**XX** A second copy of the published international application under 35 U.S.C. 154(d)(4).

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

COPY OF THE INTERNATIONAL SEARCH REPORT WITH REFERENCES CITED

19.

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Other items or information:

INTERNATIONAL APPLICATION NO ATTORNEY'S DOCKET NUMBER PCT/NL00/003266 99-IKU-9**3**1 CALCULATIONS PTO USE ONLY The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ...... \$1040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ......\$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ............ \$740.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) . . . . . . . . \$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 1040.00 Surcharge of \$130.00 for furnishing the oath or declaration later than 20 \$ months from the earliest claimed priority date (37 CFR 1.492(e)). **CLAIMS** NUMBER FILED \$ **NUMBER EXTRA RATE** Total claims - 20 = \$ x \$18.00 Independent claims - 3 = \$ x \$84.00 MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$ + \$280.00 TOTAL OF ABOVE CALCULATIONS \$ 1040.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. **SUBTOTAL** \$ 1040.00 Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)). TOTAL NATIONAL FEE \$ 1040.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be \$ accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED \$1040.00 Amount to be refunded: \$ \$ charged: a. A check in the amount of \$\_\_\_\_\_\_ to cover the above fees is enclosed. b. XX Please charge my Deposit Account No. 05-0275 in the amount of \$1040.00 to cover the above fees. A duplicate copy of this sheet is enclosed. c. XX The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 05-0275 A duplicate copy of this sheet is enclosed. d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: ROGER A. JOHNSTON, ESQ. EATON CORPORATION EATON CENTRE ROGER A. JOHNSTON 1111 SUPERIOR AVENUE CLEVELAND, OHIO 44114-2584 NAME 25,880 TELEPHONE: (216))523-4132 REGISTRATION NUMBER

## **PCT Application for:**

## **MOVEMENT MECHANISM**

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Date of Deposit: NOVEMBER 13, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 CFR 1.10 on the date indicated above and addressed to the Honourable Commissioner of Patents and Trademarks, Attn: Box PCT, Washington, D.C. 20231

Name of Sender: Scott A. Rossi

Signature:

Date: NOVEMBER 13, 2001